



Applicant or Patentee: Arturo J. Garcia and Arturo H. Garcia
Serial or Patent No.: _____ Att. Doc. No. TRD 001 IA
Filed or Issued: _____
For: APPARATUS AND METHOD OF ENHANCING ELECTRONIC AUDIO SIGNALS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act
on behalf of the concern identified below:

NAME OF CONCERN TRUE DIMENSIONAL SOUND, INC.
ADDRESS OF CONCERN 2600 Douglas Road, #411, Coral Gables, FL 33134

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with respect to the invention, entitled APPARATUS AND METHOD OF ENHANCING ELECTRONIC AUDIO SIGNALS by inventor(s) Arturo J. Garcia and Arturo H. Garcia described in

- ☐ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Arturo H. Garcia

TITLE OF PERSON OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 25323 S.W. 127th Place, Miami, FL 33032

SIGNATURE

DATE

6/12/95

DECLARATION AND POWER OF ATTORNEY
(Division, Continuation, or C-I-P)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the invention entitled:
APPARATUS AND METHOD OF ENHANCING ELECTRONIC AUDIO SIGNALS,
described and claimed

_____ in the attached specification;
 X in the specification filed June 7, 1995, as U.S.
Application Serial No. _____, and as amended
_____.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as filed and as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status
<u>08/021,209</u>	<u>2/23/93</u>	<u>Patented</u>

I appoint

9	Richard A. Killworth	Reg. No. <u>26,397</u>
	James F. Gottman	Reg. No. <u>27,262</u>
	Timothy W. Hagan	Reg. No. <u>29,001</u>
	Richard C. Stevens	Reg. No. <u>28,046</u>
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	Harold C. Knecht III	Reg. No. <u>35,576</u>
	Brent M. Peebles	Reg. No. <u>38,576</u>
	Susan M. Luna	Reg. No. <u>38,769</u>

my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Address all telephone calls to (513) 223-2050. Address all correspondence to: KILLWORTH, GOTTMAN, HAGAN & SCHAEFF, One Dayton Centre, One South Main Street, Suite 500, Dayton, Ohio 45402-2023.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of sole or first Inventor: Arturo J. Garcia 1-00

Inventor's signature [Signature]

Date: 6/12/95

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Citizenship: USA

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Full name of second Inventor: Arturo H. Garcia

2-00

Inventor's signature [Signature]

Date: 6/12/95

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